

# Topics on Computing and Mathematical Sciences I Graph Theory (7) Coloring II

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## Plan changed

- |      |   |      |   |
|------|---|------|---|
| 4/09 | Definition of Graphs;<br>Paths and Cycles | 6/18 | Extremal Graph Theory I<br>(Turán's theorem)        |
| 4/16 | Cycles; Extremality                       | 6/25 | Guest lecture<br>(by K. Nagano)                     |
| 4/23 | Trees; Matchings in<br>Bipartite Graphs   | 7/02 | Extremal Graph Theory II<br>(Erdős-Stone's theorem) |
| 4/30 | Matchings and Factors                     | 7/09 | Ramsey Theory                                       |
| 5/14 | Connectivity                              | 7/16 | TBA   |
| 5/21 | Coloring I                                | 7/23 | No class  |
| 6/04 | Coloring II                               |      |   |
| 6/11 | Planarity                                 |      |   |

# Today's contents

- Mycielski's construction
- Edge coloring
- Other concepts and open problems

## Chromatic numbers can be arbitrarily far from clique numbers

 $G = (V, E)$  a graph

## Definition (recap)

A set  $S \subseteq V$  is a **clique** if every pair of edges are adjacent;  
 $\omega(G)$  = the size of a largest clique of  $G$

## Proposition 6.1 (recap)

$$\chi(G) \geq \omega(G)$$

Today, we show that this lower bound can be arbitrarily bad

# Mycielski's construction

$G = (V, E)$  a graph

## Definition (Mycielski's construction)

From  $G$ , **Mycielski's construction** produces a graph  $M(G)$  containing  $G$ , as follows:

- Let  $V = \{v_1, \dots, v_n\}$
- $V(M(G)) = V \cup \{u_1, \dots, u_n, w\}$
- $E(M(G)) = E \cup \{\{u_i, v\} \mid v \in N_G(v_i) \cup \{w\}\}$

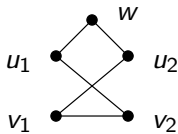
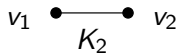
Reminder:  $N_G(v_i)$  = the set of vertices in  $G$  adjacent to  $v_i$

## Iterative application of Mycielski's construction

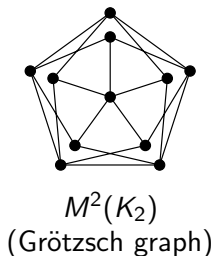
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$$M(K_2) \simeq C_5$$

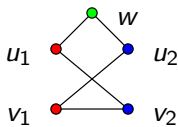
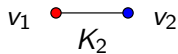


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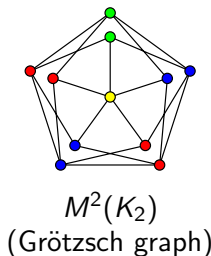
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$$M(K_2) \simeq C_5$$



## Properties of Mycielski's construction

## Theorem 7.1 (Mycielski '55)

- ①  $G \not\cong K_3 \Rightarrow M(G) \not\cong K_3$
- ②  $\chi(G) = k \Rightarrow \chi(M(G)) = k+1$

Let  $U = \{u_1, \dots, u_n\}$  in the Mycielski's construction

Proof idea of (1).

- $U$  is independent (i.e., no pair of vertices in  $U$  is adjacent)
- $\therefore$  no  $K_3$  in  $M(G)$  containing  $w$
- $\therefore$  Any  $K_3$  in  $M(G)$  has its vertex set as  $\{u_i, v_j, v_k\}$
- Then,  $\{v_j, v_k\}, \{v_i, v_j\}, \{v_i, v_k\} \in E$ ; A contradiction □



## Properties of Mycielski's construction (continued)

## Proof idea of (2).

- We can color  $M(G)$  with  $k+1$  colors
  - Let  $c$  be a proper  $k$ -coloring of  $G$ , then  $\tilde{c}$  def'ed as  $\tilde{c}(v) = c(v)$  for  $v \in V$ ,  $\tilde{c}(u_i) = c(v_i)$  for  $u_i \in U$ , and  $\tilde{c}(w) = k+1$  is a proper  $k+1$ -coloring of  $M(G)$
- Suppose we can color  $M(G)$  with  $k$  colors;  
Let  $\tilde{c}: V(M(G)) \rightarrow \{1, \dots, k\}$  be a proper  $k$ -coloring of  $M(G)$
- WLOG  $\tilde{c}(w) = k$ ; then  $\tilde{c}(u_i) \in \{1, \dots, k-1\} \forall u_i \in U$
- Define  $c: V \rightarrow \{1, \dots, k\}$  by  $c(v_i) = \tilde{c}(v_i)$  if  $\tilde{c}(v_i) \neq k$  and  $c(v_i) = \tilde{c}(u_i)$  if  $\tilde{c}(v_i) = k$ , then  $c$  is a proper  $k-1$ -coloring of  $G$ ;  
A contradiction □

## Consequence of Mycielski's construction

## Corollary 7.2

- ①  $\forall k \geq 2 \exists$  a graph  $G: \omega(G) = 2$  and  $\chi(G) = k$
- ②  $\forall k \geq \ell \geq 2 \exists$  a graph  $G: \omega(G) = \ell$  and  $\chi(G) = k$

## Remarks on Mycielski's construction (1)

Start from  $G = K_2$

- $M^0(G) = K_2$ ;  $n(M^0(G)) = 2$
- $M^1(G) = C_5$ ;  $n(M^1(G)) = 5$
- $M^2(G) = \text{Grötzsch graph}$ ;  $n(M^2(G)) = 11$
- $M^k(G) = \dots$ ;  $n(M^k(G)) = \Theta(2^k)$

This is an exponential growth; **Too large!?**

$f(k) =$  the min # of vertices of a  $k$ -chromatic graph  $\not\cong K_3$

## Facts

- $f(k) = O(k^2 \log k)$  (Ajtai, Komlós, Szemerédi '80)
- $f(k) = \Omega(k^2 \log k)$  (Kim '95; Fulkerson Prize Winner)

## Remarks on Mycielski's construction (2)

Another view of a consequence of Mycielski's construction

$\forall k \geq 2 \exists$  a graph  $G: g(G) \geq 4$  and  $\chi(G) = k$

Reminder:  $g(G)$  = the girth (the length of a shortest cycle) of  $G$

Intuition?

Graphs with large girths would be colored by few colors??  
(Large girths should make the graph locally tree-like)

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Graphs with large girths would be colored by few colors??  
(Large girths should make the graph locally tree-like)

Fact (rejecting the intuition above; Erdős '59)

$\forall g, k \geq 3 \exists$  a graph  $G: g(G) \geq g$  and  $\chi(G) \geq k$

This result was obtained by the “first” application of the so-called **probabilistic method**, which we don't touch upon

# Today's contents

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- Edge coloring
- Other concepts and open problems

## Edge coloring

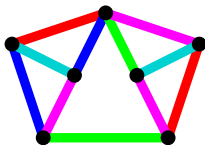
$G = (V, E)$  a graph;  $k$  a natural number

Definition (Edge-coloring, Proper edge-coloring)

A  $k$ -edge-coloring of  $G$  is a map  $c: E \rightarrow \{1, \dots, k\}$ ;

The edges of one color form a **color class**;

A  $k$ -edge-coloring of  $G$  is **proper** if  $c(e) \neq c(e')$  for all adjacent edges  $e, e' \in E$



## Chromatic index

$G = (V, E)$  a graph;  $k$  a natural number

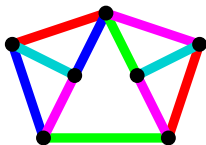
## Definition (Edge-colorability, Chromatic index)

$G$  is  $k$ -edge-colorable if  $\exists$  a proper  $k$ -edge-coloring of  $G$ ;

The **chromatic index** (or **edge-chromatic number**) of  $G$  is the min  $k$  for which  $G$  is  $k$ -edge-colorable

## Notation

$\chi'(G)$  = the chromatic index of  $G$



$$\chi'(G) = 5$$



## Edge coloring and line graphs

$G = (V, E)$  a graph

## Observation

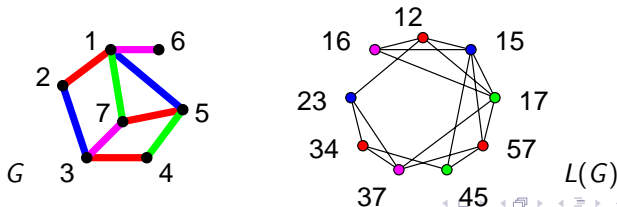
$$\chi'(G) = k \iff \chi(L(G)) = k$$

## Definition (Line graph (recap))

The **line graph** of  $G$  is a graph  $L(G)$  defined as

- $V(L(G)) = E(G)$
- $E(L(G)) = \{\{e, f\} \mid e, f \in E(G), e \cap f \neq \emptyset\}$

Example:



# Proper edge-coloring and matchings

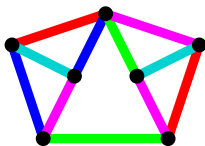
$G = (V, E)$  a graph

Definition (Matching (recap))

A set  $M \subseteq E$  is a **matching** of  $G$  if no two edge of  $M$  are adjacent

Observation

$c$  is a proper  $k$ -edge-coloring of  $G \Rightarrow$  each color class is a matching of  $G$



## Easy lower and upper bounds

Proposition 7.3 (Easy lower and upper bounds for  $\chi'$ )

- ①  $\chi'(G) \geq \Delta(G)$
- ②  $\chi'(G) \leq 2\Delta(G) - 1$

## Proof idea.

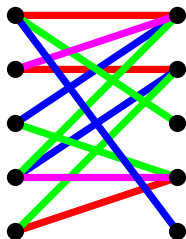
- $\omega(L(G)) \leq \chi(L(G)) \leq \Delta(L(G)) + 1$  (Props 6.1 & 6.3)
- $\chi(L(G)) = \chi'(G)$  (as observed before)
- $\omega(L(G)) \geq \Delta(G)$
- $\Delta(L(G)) \leq 2\Delta(G) - 2$  □

## Chromatic index of a bipartite graph

When does  $\chi'(G) = \Delta(G)$  hold?

Theorem 7.4 (Chromatic index of a bipartite graph; König '16)

$G$  bipartite  $\implies \chi'(G) = \Delta(G)$

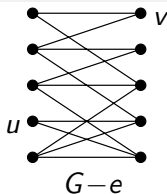
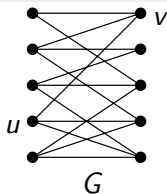


## Proof of König's theorem

## Proof idea.

Induction on  $e(G)$ ; Easy if  $e(G) = 0$ ; Assume  $e(G) \geq 1$

- Let  $e = \{u, v\} \in E$  and consider  $G - e$  ( $\Delta(G - e) \leq \Delta(G)$ )
- $G - e$  has a proper  $\Delta(G)$ -edge-coloring; Let  $M_1, \dots, M_{\Delta(G)}$  be the color classes (each being a matching)
- Let  $C_u = \{i \mid \text{no edge of } M_i \text{ incid. to } u\}$ ;  
Let  $C_v = \{i \mid \text{no edge of } M_i \text{ incid. to } v\}$
- $|C_u|, |C_v| \geq 1$  ( $\because d_{G-e}(u), d_{G-e}(v) \leq \Delta(G) - 1$ )

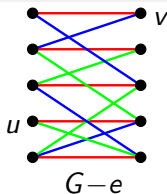
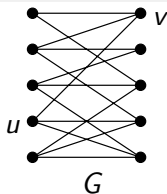


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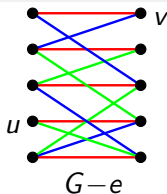
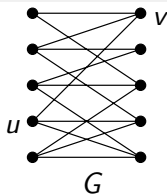


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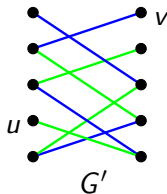
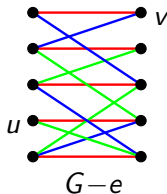
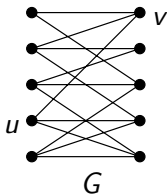


$$C_u = \{\text{blue}\}, C_v = \{\text{green}\}$$

## Proof of König's theorem (continued)

## Proof idea (continued).

- If  $C_u \cap C_v \neq \emptyset$ , color  $e$  by  $i \in C_u \cap C_v$
- If not, consider  $i \in C_u$  and  $j \in C_v$ ; Let  $G' = (V, M_i \cup M_j)$
- Each component of  $G'$  is a path or a cycle
- $v$  belongs to some path  $P$  of  $G'$ ;  $u$  does not belong to  $P$  (why?)
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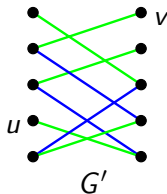
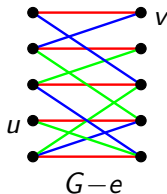
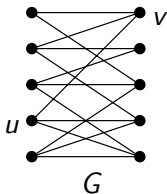




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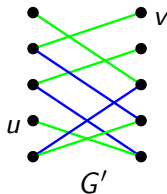
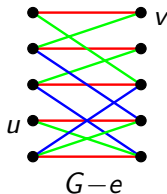
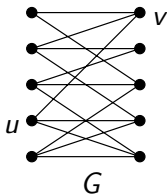
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## Only two values are possible: Vizing's theorem

We saw  $\Delta(G) \leq \chi'(G) \leq 2\Delta(G) - 1$ , but we can improve the upper bound

### Vizing's theorem ('64)

For every graph  $G$ ,  $\chi'(G) \leq \Delta(G) + 1$

### Consequence

$\chi'(G) \in \{\Delta(G), \Delta(G) + 1\}$  for every graph  $G$

We skip the proof in the lecture

# Deciding the $k$ -edge-colorability

## Problem $k$ -EDGE-COLORABILITY

Pre-input: An integer  $k$

Input: A graph  $G$

Question: Is  $G$   $k$ -edge-colorable?

## Facts

- The problem 2-EDGE-COLORABILITY can be solved in polynomial time (Easy)
- The problem 3-EDGE-COLORABILITY is NP-complete (Holyer '81)

Remark: A proof of Vizing's theorem will give a poly-time algorithm to edge-color  $G$  with  $\Delta(G)$  colors

# Today's contents

- Mycielski's construction
- Edge coloring
- Other concepts and open problems
  - Hajós conjecture and Hadwiger conjecture
  - Total coloring conjecture
  - List coloring conjecture

## Forced substructures in graphs with high chromatic numbers

## We saw

- $G$  contains  $K_r \implies \chi(G) \geq r$
- $\chi(G) \geq r \not\implies G$  contains  $K_r$

## Question

Is there a class  $\mathcal{C}$  of graphs such that

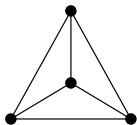
- $\chi(G) \geq r \implies G$  contains a graph in  $\mathcal{C}$

# Subdivisions of a graph

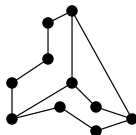
$G, H$  graphs

## Definition (Subdivision)

$G$  is an  **$H$ -subdivision** if  $G$  can be constructed from  $H$  by replacing some edges by paths



$K_4$



a  $K_4$ -subdivision

# Hajós' conjecture

## Conjecture (Hajós '61)

$\chi(G) \geq r \implies G$  contains a  $K_r$ -subdivision

## Facts

- $r = 2, 3$ : True (Easy; Exercise)
- $r = 4$ : True (Dirac '52)
- $r = 5, 6$ : Still open
- $r \geq 7$ : False (Catlin '79; Exercise)
- Almost all graphs: False (Erdős, Fajtlowicz '81)
- $g(G) \geq 186$ : True (Kühn, Osthus '02)
- $G$  a line graph: True (Thomassen '07)



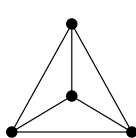
# Minors

$G, H$  graphs

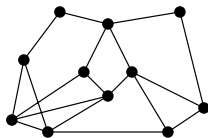
## Definition (Minor)

$G$  contains an  $H$ -minor if  $G$  can be made isomorphic to  $H$  by successively contracting/deleting edges and deleting vertices

Note:  $G$  contains an  $H$ -subdivision  $\Rightarrow G$  contains an  $H$ -minor



$K_4$



containing a  $K_4$ -minor

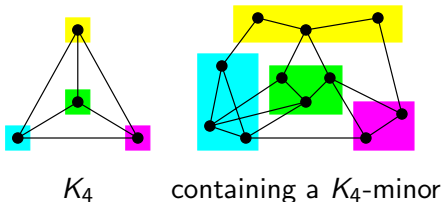
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Note:  $G$  contains an  $H$ -subdivision  $\Rightarrow G$  contains an  $H$ -minor



# Hadwiger's conjecture

## Conjecture (Hadwiger '43)

$\chi(G) \geq r \implies G$  contains a  $K_r$ -minor

## Facts

- $r = 2, 3, 4$ : True (from Hajós conj)
- $r = 5$ : True (equiv. to Four Color Thm; Wagner '37)
- $r = 6$ : True (Robertson, Seymour, Thomas '93)
- $r \geq 7$ : Open

For  $r = 7$ , if  $\chi(G) \geq r$  then  $G$  contains an  $H$ -minor, where...

- $H = K_7$  with two edges missing (Jakobsen '71)
- $H = K_7$  or  $K_{4,4}$  (Kawarabayashi, Toft '05)
- $H = K_7$  or  $K_{3,5}$  (Kawarabayashi)

# Today's contents

- Mycielski's construction
- Edge coloring
- Other concepts and open problems
  - Hajós conjecture and Hadwiger conjecture
  - Total coloring conjecture
  - List coloring conjecture

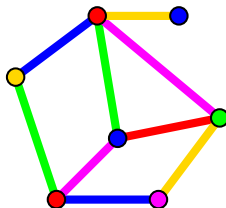
## Color the vertices and the edges at the same time

$G = (V, E)$  a graph,  $k$  a natural number

## Definition (Total coloring)

A  **$k$ -total-coloring** of  $G$  is a labeling  $c: V \cup E \rightarrow \{1, \dots, k\}$ ;

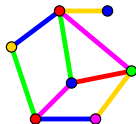
A  $k$ -total-coloring is **proper** if  $c|_V$  is a proper  $k$ -coloring,  $c|_E$  is a proper  $k$ -edge-coloring and  $c(v) \neq c(e)$  if  $v \in V, e \in E, v \in e$



# Total chromatic number

## Definition (Total chromatic number)

The **total chromatic number** of  $G$  is the min  $k$  for which  $G$  has a proper  $k$ -total-coloring; denoted by  $\chi''(G)$



## Easy bounds

- $\chi''(G) \geq \chi(G)$ ,  $\chi''(G) \geq \chi'(G)$  (By definitions)
- $\Delta(G) + 1 \leq \chi''(G) \leq 2\Delta(G)$  (Exercise)

# Total coloring conjecture

Conjecture (Behzad '64; Vizing '68)

$$\chi''(G) \leq \Delta(G) + 2$$

## Known facts

- $\chi''(G) \leq \Delta(G) + C$  for some const  $C$  (Molloy, Reed '98)
- $\chi''(G) \leq \Delta(G) + 3$  if the list coloring conjecture is true (Exercise)
- True for 3-regular graphs (Rosenfeld '71)
- True for interval graphs (Bojarshinov '01)

# Deciding the $k$ -total-colorability

## Problem $k$ -TOTAL-COLORABILITY

Pre-input: An integer  $k$

Input: A graph  $G$

Question: Does  $G$  have a proper  $k$ -total-coloring?

## Facts

- The problem 3-TOTAL-COLORABILITY can be solved in polynomial time (Easy)
- The problem 4-TOTAL-COLORABILITY is NP-complete (Sanchez-Arroyo '89)



# Today's contents

- Mycielski's construction
- Edge coloring
- Other concepts and open problems
  - Hajós conjecture and Hadwiger conjecture
  - Total coloring conjecture
  - List coloring conjecture

# When sets of available colors are given

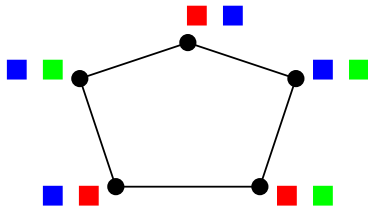
## Setting

- $G = (V, E)$  a graph
- $L(v)$  a set of colors,  $v \in V$

## Definition (List coloring)

A **list coloring** of  $G$  with lists  $\{L(v) \mid v \in V\}$  is a labeling  $c: V \rightarrow \bigcup_v L(v)$  such that  $c(v) \in L(v)$  for all  $v \in V$ ;

A list coloring  $c$  is **proper** if  $c(u) \neq c(v)$  for all  $\{u, v\} \in E$



## When sets of available colors are given

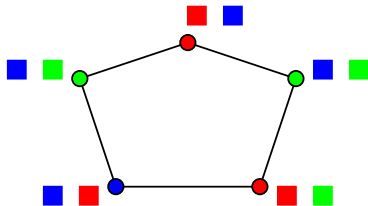
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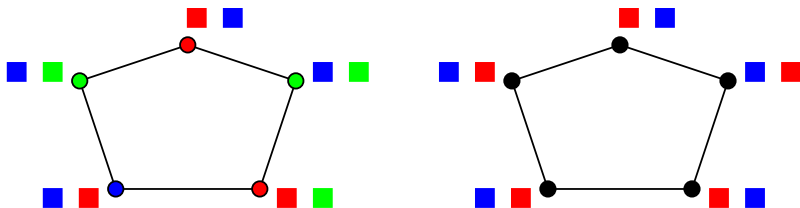


## List colorability

$G = (V, E)$  a graph,  $k$  a natural number

Definition (List  $k$ -colorability)

$G$  is **list  $k$ -colorable** (or  **$k$ -choosable**) if for *any* list  $L(v)$  of colors with  $|L(v)| = k$  there exists a proper list coloring of  $G$  with lists  $\{L(v)\}$



$C_5$  is not list 2-colorable, but list 3-colorable

# List chromatic number

$G = (V, E)$  a graph

## Definition (List chromatic number)

The **list chromatic number** (or the **choosability**) of  $G$  is the min  $k$  such that  $G$  is list  $k$ -colorable

## Notation

$\chi_\ell(G)$  = the list chromatic number of  $G$

## Easy bound

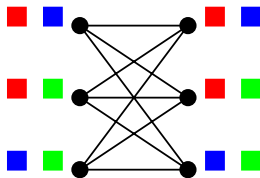
- $\chi_\ell(G) \geq \chi(G)$

## Chromatic numbers and list chromatic numbers can be quite different

## Facts

- $\chi(K_{n,n}) = 2$
- $\chi_\ell(K_{m,m}) \geq k$  when  $m = \binom{2k-1}{k}$  (Erdős, Rubin, Taylor '79)

Example for  $k = 2$



## List edge-chromatic number

We may define the corresponding concepts for edge coloring:

- List edge-coloring
- List  $k$ -edge-colorability
- List chromatic index (List edge-chromatic number)

### Notation

$\chi'_\ell(G)$  = the list chromatic index of  $G$

### Easy bound

- $\chi'_\ell(G) \geq \chi'(G)$

## List coloring conjecture

## Conjecture (List coloring conjecture)

For every graph  $\chi'(G) = \chi'_\ell(G)$

## Known facts

- True for  $G$  bipartite (Galvin '95)
- True asymptotically ( $\chi'_\ell(G) \leq (1 + o(1))\chi'(G)$ ) (Kahn '00)



# Deciding the list- $k$ -colorability

## Problem LIST- $k$ -COLORABILITY

Pre-input: An integer  $k$

Input: A graph  $G$

Question: Is  $G$  list- $k$ -colorable?

## Facts

- The problem LIST-2-COLORABILITY can be solved in poly-time  
(from Erdős, Rubin, Talyor '79)
- The problem LIST-3-COLORABILITY is  $\Pi_2^P$ -complete  
(Gutner, Tarsi '94)

For the class  $\Pi_2^P$  refer to lectures/textbooks on Computational Complexity