

# Topics on Computing and Mathematical Sciences I Graph Theory (2) Cycles and Extremality

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A characterization of bipartite graphs

Today's contents

- A characterization of bipartite graphs
- Euler circuits
- Hamiltonian cycles
- Extremal problems
- Review of useful proof techniques

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A characterization of bipartite graphs

How can we tell the graph is bipartite?

Definition (recap): Bipartite graph

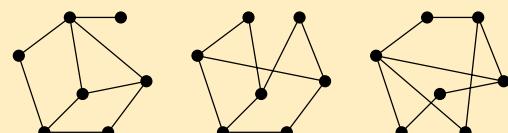
A graph  $G = (V, E)$  is **bipartite** if

$V$  can be partitioned into two parts  $V_1, V_2$  s.t.

$$\{u, v\} \in E \Rightarrow u \in V_1, v \in V_2$$

Question

Among these graphs, which is bipartite?



A characterization of bipartite graphs

A characterization of bipartite graphs

Theorem 2.1 (A characterization of bipartite graphs, König '36)

A graph  $G = (V, E)$  is bipartite  $\iff G$  contains no odd cycle

Proof idea.

We may assume  $G$  is connected, **without loss of generality**

$\Rightarrow$  Every cycle should alternately visit different partite set...

$\Leftarrow$

- $u \in V$  an arbitrary vertex (fixed)
- For all  $v \in V$  let  $f(v) =$  the minimum length of a  $u, v$ -path in  $G$
- $A = \{v \in V \mid f(v) \text{ even}\}$  and  $B = \{v \in V \mid f(v) \text{ odd}\}$  give rise to partite sets of  $G$

□

Lemma 2.2 : Every closed odd walk contains an odd cycle

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## Good characterization

How can we convince others that a given graph is bipartite?

- We can just exhibit a bipartition of the vertex set

How can we convince others that a given graph is *not* bipartite?

- We can exhibit **all** candidates of bipartitions and show any of them do not fit the definition; **This is too inefficient!!!**
- The theorem gives an efficient way; Just exhibit an odd cycle

In this sense, the theorem is good; it gives an efficiently verifiable certificate for non-bipartiteness

## Concept (Edmonds '65)

A characterization is **good** if it provides an efficiently verifiable certificate

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## Euler circuits and Eulerian graphs

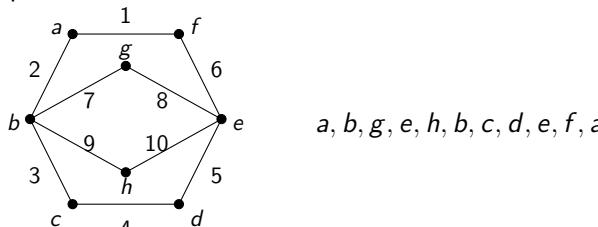
## Definition (Euler circuit)

An **Euler circuit** in  $G$  is a circuit in  $G$  in which every edge of  $G$  appears exactly once

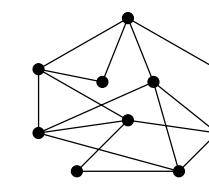
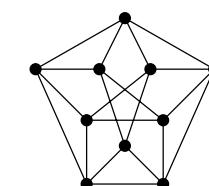
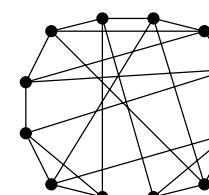
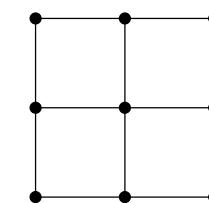
## Definition (Eulerian graph)

$G$  is **Eulerian** if it contains an Euler circuit

Example:



## Which graph is Eulerian?



## Euler circuits

### A characterization of Eulerian graphs

Theorem 2.3 (A characterization of Eulerian graphs, Euler 1736)

A graph  $G$  is Eulerian  $\Leftrightarrow G$  has the following properties

- ① All edges of  $G$  lie in the same component of  $G$
- ② The degree of each vertex of  $G$  is even

Proof idea.

[ $\Rightarrow$ ] Easy direction

[ $\Leftarrow$ ] Useful proof technique: **Extremality**

- Choose a *longest trail*  $t$  of  $G$ ...
- $t$  starts at some vertex  $v$ , and should return to  $v$  because of the assumption; So  $t$  is a circuit
- If  $t$  isn't an Euler circuit, then  $\exists$  an edge  $e$  that doesn't participate in  $t$ ; ...  $\square$

## Hamiltonian cycles

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## Euler circuits

### Determining whether a graph is Eulerian or not

Problem (EULERIAN GRAPH)

Input: A graph  $G$

Question: Is  $G$  Eulerian?

Theorem 2.3 is a **good characterization** for a graph to be Eulerian, leading to an  $O(n+m)$ -time algorithm

"Finding one" is another issue, but this can also be done in  $O(n+m)$ -time (Hierholzer 1873)

## Hamiltonian cycles

### Hamiltonian cycles

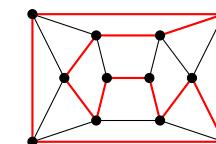
Definition (Hamiltonian cycle (path))

A cycle (or a path) in  $G$  is **Hamiltonian** if it contains all vertices of  $G$

Definition (Hamiltonian graph)

$G$  is **Hamiltonian** if it contains a Hamiltonian cycle

Example:



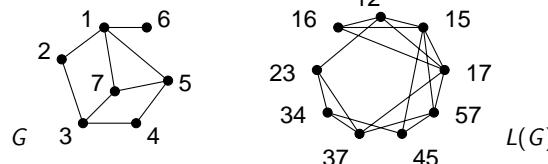
## Relationship of Eulerian and Hamiltonian graphs

## Definition (Line graph)

The **line graph** of  $G$  is a graph  $L(G)$  defined as

- $V(L(G)) = E(G)$
- $E(L(G)) = \{\{e, f\} \mid e, f \in E(G), e \cap f \neq \emptyset\}$

Example:



## Proposition 2.4 (Eulerian graphs have Hamiltonian line graphs)

$G$  is Eulerian  $\implies L(G)$  is Hamiltonian

## Determining the Hamiltonicity

## Problem (HAMILTONIAN CYCLE)

Input: a graph  $G$

Question: Is  $G$  Hamiltonian?

Karp '72

HAMILTONIAN CYCLE is NP-complete

$\therefore$  We can't hope for a poly-time algo for HAMILTONIAN CYCLE

$\therefore$  We can't hope for a good characterization for the existence of Hamiltonian cycles

## An attempt for a characterization of the Hamiltonian graphs

To determine whether a given graph  $G$  is Hamiltonian or not, it suffices to find  $H$  s.t.  $G = L(H)$  and determine whether  $H$  is Eulerian or not

## Problem

Such a graph  $H$  might not exist

## Is a graph the line graph of some graph?

## Problem (LINE GRAPH)

Input: a graph  $G$

Question: Does there exist  $H$  s.t.  $G = L(H)$ ?

## Known facts

- Good characterizations (van Rooij, Wilf '65; Beineke '68)
- An  $O(n+m)$ -time algorithm (Lehot '74)

## Intuition? 1

Question: Is the following true?

If  $G$  has many edges, then  $G$  surely contains a Hamiltonian cycle

What if  $e(G) \geq n(G)^2/4$ ?

**Theorem 2.5** (Density doesn't help for Hamiltonicity)

$$\forall \varepsilon < 1 \exists n \exists \text{ a non-Hamiltonian } n\text{-vertex graph } G \text{ s.t. } e(G) \geq \varepsilon \binom{n}{2}$$

Proof idea.

Given  $\varepsilon$ , construct such a graph  $G$

□

## Dirac's theorem

**Theorem 2.6** (Min-degree condition for Hamiltonicity, Dirac '52)

$n(G) \geq 3, \delta(G) \geq \lceil n(G)/2 \rceil \implies G$  Hamiltonian

Before a proof

Observation

$\delta(G) \geq \lceil n(G)/2 \rceil \Rightarrow G$  connected

Proof: By the **averaging argument**

## Dirac's theorem: proof

Proof idea.

Useful techniques: **Extremality** and **Pigeonhole principle (PhP)**

- Suppose  $\exists$  a non-Hamiltonian graph  $G$  with  $\delta(G) \geq n(G)/2$
- Consider a *longest path*  $P = v_1, \dots, v_k$  of  $G$  ( $k \leq n(G)$ )
- Consider boxes  $1, \dots, k-1$
- Put a red ball in box  $i$  if  $v_i$  and  $v_{i+1}$  are adjacent;
- Put a blue ball in box  $i$  if  $v_i$  and  $v_k$  are adjacent
- # red balls  $\geq n(G)/2$ , # blue balls  $\geq n(G)/2$
- $\exists i$  s.t. box  $i$  contains a red and a blue balls (by PhP)
- $\therefore v_1, v_2, \dots, v_i, v_k, v_{k-1}, \dots, v_{i+1}, v_1$  is a cycle
- This should be a Hamiltonian cycle (why?)

□

## Can a coefficient be made smaller?

We saw

- " $\delta(G) \geq n(G)/4$ " is not sufficient for Hamiltonicity
- " $\delta(G) \geq \lceil n(G)/2 \rceil$ " is sufficient for Hamiltonicity

Question

Can we make " $\lceil n(G)/2 \rceil$ " smaller to ensure  $G$  to be Hamiltonian?

Observation

For every  $n \geq 3$ , there exists an  $n$ -vertex non-Hamiltonian graph  $G$  with  $\delta(G) = \lceil n/2 \rceil - 1$

In this sense, the bound " $\delta(G) \geq n(G)/2$ " is **tight**

This is an example of **Extremal Problems**

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## What's an extremal problem?: Example

We saw, assuming  $n(G) \geq 3$ ,

- $\exists G$  s.t.  $\delta(G) = \lceil n(G)/2 \rceil - 1$  and  $G$  is not Hamiltonian
- $\forall G$  s.t.  $\delta(G) \geq \lceil n(G)/2 \rceil$ :  $G$  is Hamiltonian

Therefore

$$\max\{\delta(G) \mid G \text{ } n\text{-vertex and non-Hamiltonian}\} = \lceil n/2 \rceil - 1$$

## What's an extremal problem?: Informal definition

Informal definition: Extremal problem

Determination of the max (or min) value of a parameter over some class of objects ( $n$ -vertex graphs in this course)

For the example in the previous slide

objective parameter class	maximization minimum degree non-Hamiltonian graphs
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## A typical extremal problem

## Question

Given a natural number  $n$  and a graph  $H$ ,

What is the maximum number of edges in an  $n$ -vertex graph that doesn't contain  $H$ ?

This question has made graph theory quite fruitful;

We'll look at this question more thoroughly later in the course

Today, only when  $H = K_3$  (triangle)

Graphs having no  $K_3$ : Mantel's theoremTheorem 2.7 (Extremality for having no  $K_3$ , Mantel 1907)

The maximum number of edges in an  $n$ -vertex graph that contains no  $K_3$  is  $\lfloor n^2/4 \rfloor$

## Wrong Proof Idea.

$$\lceil \max \geq \lfloor n^2/4 \rfloor \rceil$$

- Consider  $K_{\lceil n/2 \rceil, \lfloor n/2 \rfloor}$

$$\lceil \max \leq \lfloor n^2/4 \rfloor \rceil \text{ Induction on } n$$

- When  $n \leq 2$ , consider  $K_n$
- Suppose the claim is valid when  $n = k$  ( $k \geq 2$ )
- $K_{\lceil k/2 \rceil, \lfloor k/2 \rfloor}$  is a largest  $k$ -vertex graph containing no  $K_3$
- Add one vertex to obtain a largest  $(k+1)$ -vertex graph...

What's wrong with this proof???



## Extremal problems

## Correct proof of Mantel's theorem

## Proof idea.

$$\lceil \max \geq \lfloor n^2/4 \rfloor \rceil$$

- Consider  $K_{\lceil n/2 \rceil, \lfloor n/2 \rfloor}$

$$\lceil \max \leq \lfloor n^2/4 \rfloor \rceil$$

- $d(x) + d(y) \leq n(G)$  for every  $\{x, y\} \in E$  (since  $G \not\supseteq K_3$ )

$$\bullet \sum_{\{x,y\} \in E} (d(x) + d(y)) = \sum_{v \in V} d(v)^2 \quad (\text{double counting})$$

$$\bullet \left( \sum_{v \in V} d(v) \right)^2 \leq n(G) \sum_{v \in V} d(v)^2 \quad (\text{Cauchy-Schwarz})$$

$$\bullet \sum_{v \in V} d(v) = 2e(G) \quad (\text{Handshaking lemma})$$



## More extremal problems to come

Here, we consider graphs containing no  $K_3$

## Questions

- What about graphs containing no  $K_4$
- What about graphs containing no  $K_r$  ( $r$  fixed)
- What about graphs containing no  $K_{r,r}$
- What about graphs containing no Petersen graph
- ...

These questions will be answered (completely or partially) later in this course  $\leadsto$  Extremal Graph Theory

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## Useful proof techniques

- Double Counting
  - Count a set of objects in two different ways
- Principle of Induction
  - Assume the validity for smaller objects
- Pigeonhole Principle
  - When many objects are put in few boxes, at least one box has two objects
- Extremality
  - Consider an object maximal (or minimal) w.r.t. a certain property

## Open problems

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- Review of useful proof techniques
- Open problems

## Open problems

## Approximate counting of the Euler circuits in graphs

## Problem

Is it possible to count the number of Euler circuits in a given  $n$ -vertex graph with relative error  $\varepsilon$  in  $\text{poly}(n, \varepsilon)$  time?

## Known facts

- Exact counting is  $\#P$ -complete (no poly-time algorithm expected) (Brightwell, Winkler '05)
- Poly-time approximate counting when  $\Delta(G) \leq 6$  using MCMC method (Tetali, Vempala '01)
- For directed version the exact counting can be done in poly-time using determinant computation (van Aardenne-Ehrenfest, de Bruijn '51; Smith, Tutte '41 + Matrix-Tree Theorem)

## Extension of Dirac's theorem

Definition: Power of a graph

The  $k$ -th power of a graph  $G$  is a graph  $G^k$  defined as

- $V(G^k) = V(G)$
- $E(G^k) = \{\{u, v\} \mid \exists \text{ a } u, v\text{-walk of length } k\}$

Especially,  $G^1 = G$

Conjecture (Seymour '74)

$$k \geq 1, n \geq 3, \delta(G) \geq \frac{k}{k+1}n(G)$$

$\Rightarrow G$  contains a Hamiltonian cycle  $H$  s.t.  $H^k \subseteq G$

- $k = 1$ : Dirac's theorem
- $k = 2$ : Pósa's conjecture ('63), yet unsettled
  - Proved asymptotically (Komlós, Sárközy, Szemerédi '96)

## Hamiltonicity of graphs of bounded polyhedra

Open Problem (Barnette)

Is the edge-graph of a 3-dimensional bounded polyhedron Hamiltonian, when it is 3-regular and bipartite?

If not bipartite, it can be non-Hamiltonian. (Tutte '46)

Open Problem (Barnette)

Is the edge-graph of a 4-dimensional bounded polyhedron Hamiltonian, when it is 4-regular?