

The forbidden minor characterization of line-search antimatroids of rooted digraphs

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Abstract

An antimatroid is an accessible union-closed family of subsets of a finite set. A number of classes of antimatroids are closed under taking minors such as point-search antimatroids of rooted (di)graphs, line-search antimatroids of rooted (di)graphs, shelling antimatroids of rooted trees, shelling antimatroids of posets, etc. The forbidden minor characterizations are known for point-search antimatroids of rooted (di)graphs, shelling antimatroids of rooted trees and shelling antimatroids of posets. In this paper, we give the forbidden minor characterization of line-search antimatroids of rooted digraphs.

Key Words: Antimatroid, Forbidden minor, Line graph, Line-search antimatroid

1 Introduction

Various kinds of shelling procedures give rise to a class of combinatorial structures called antimatroids, which were introduced by Edelman [2] and Jamison-Walder [5]. Antimatroids can be seen as a combinatorial abstraction of convexity, while matroids can be seen as a combinatorial abstraction of linear independence. Antimatroids are related to matroids in that both can be defined by a apparently similar axioms. This close relationship between antimatroids and matroids provides a lot of interesting properties of antimatroids. For example, antimatroids can be characterized by a greedy algorithm like matroids [1]. Note that one of the authors has recently given a greedy-algorithmic characterization of non-simple antimatroids, which is an extension of antimatroids [9].

Both antimatroids and matroids are subclasses of greedoids introduced by Korte–Lovász [6]. See [8] for details and various examples of greedoids. In greedoid theory, some classes are characterized by their forbidden minors: local poset greedoids [7]; undirected branching greedoids [3, 13], and poset-shelling antimatroids and point-search antimatroids of rooted (di)graphs [10]. In this paper, we give the forbidden minor characterization for line-search antimatroids of rooted digraphs.

Note that there are still other antimatroids whose forbidden minor characterizations have not been known yet; for example, line-search antimatroids of rooted undirected graphs.

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2 Preliminaries

2.1 Antimatroids

Let E be a nonempty finite set, and let \mathcal{F} be a family of subsets of E such that

$$\emptyset \in \mathcal{F}, E \in \mathcal{F}; \tag{1}$$

$$\text{if } X \in \mathcal{F} \setminus \{\emptyset\}, \text{ then there exists an } e \in X \text{ such that } X \setminus \{e\} \in \mathcal{F}; \tag{2}$$

$$\text{if } X, Y \in \mathcal{F}, \text{ then } X \cup Y \in \mathcal{F}. \tag{3}$$

Then we call (E, \mathcal{F}) an *antimatroid* on E . When there is no risk of confusion, we use \mathcal{F} instead of (E, \mathcal{F}) . Each element of \mathcal{F} is called a *feasible set*.

For an antimatroid \mathcal{F} , a *minor* $\mathcal{F}[A, B]$ is defined as follows:

$$\mathcal{F}[A, B] = \{X \setminus A : X \in \mathcal{F}, A \subseteq X \subseteq B\}, \tag{4}$$

where $A, B \in \mathcal{F}$ and $A \subseteq B$. We can easily check that each minor of an antimatroid is also an antimatroid.

2.2 Point-search antimatroids of rooted digraphs

A *digraph* G is a pair (V, E) such that V is a nonempty finite set of *vertices*, and E is a subset of $\{(x, y) : x, y \in V, x \neq y\}$ called a set of *edges*. For simplicity, we write xy instead of (x, y) . For an edge $xy \in E$, x is called the *tail*, and y is called the *head*.

A *path* P in $G = (V, E)$ is a sequence of vertices $x_1x_2 \cdots x_m$ with $x_i x_{i+1} \in E$ for $i = 1, \dots, m-1$. A path $P = x_1 \cdots x_m$ is also called a path from x_1 to x_m . For a path $P = x_1 \cdots x_m$, if there exists an edge $x_i x_j \in E$ ($i+1 < j$), then the edge $x_i x_j$ is called a *short-cut* of the path P . A path without repeated vertices is called *elementary*. An elementary path without any short-cuts is called *straight*.

A *rooted digraph* is a triple $G = (V, E, r)$ where $(V \cup \{r\}, E)$ is a digraph and r is a specified vertex called the *root* such that there exists a path from r to every vertex of V . A path from the root r is called a *rooted path*. A vertex v is called an *atom* if $rv \in E$.

For a rooted digraph $G = (V, E, r)$, we consider the following procedure: first we choose one of the atoms, say v ; next we shrink v to the root. If we repeat this procedure until all vertices are shrunk to the root, then we will obtain a sequence of vertices selected by the above procedure of shrinking. If we gather all of these sequences, then they form an antimatroid. Formally, for a rooted digraph $G = (V, E, r)$, we define the *point-search antimatroid* $\mathfrak{PS}_D(G)$ as follows:

$$\mathfrak{PS}_D(G) = \{X \subseteq V : \text{every vertex } v \in X \text{ can be reached by} \tag{5}$$

$$\text{a rooted path in the subgraph induced by } X \cup \{r\}\}.$$

Note that the class of point-search antimatroids is closed under taking minors.

In a rooted digraph $G = (V, E, r)$, let $e = xy \in E$ be an edge of G . Suppose $P = ru_1u_2 \cdots u_m$ to be a straight rooted path such that $u_{m-1}u_m = e$. Then we say that e is *supported by* P , or P *supports* e . If there is no path supporting e , then e is called a *redundant edge*. If a rooted digraph contains no redundant edge, then it is called an *irredundant* rooted digraph. Note that redundant edges have no use for defining point-search antimatroids. In particular, irredundant rooted digraphs have no edge whose head is the root r or an atom. For a rooted digraph G , define G_0 as the rooted digraph such that the redundant edges of G are deleted, then the point-search antimatroids of G and G_0 are the same. Therefore, without loss of generality, when we consider point-search antimatroids of rooted digraphs, we only have to handle irredundant ones.

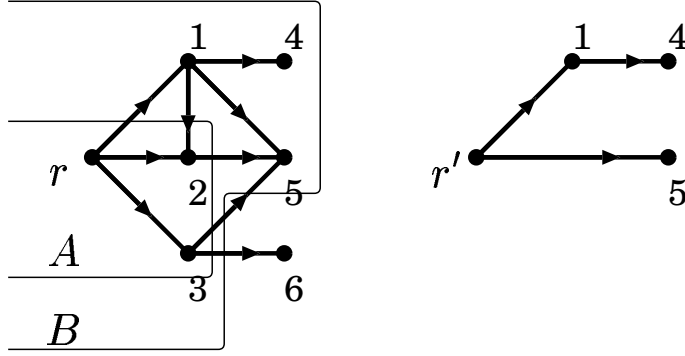


Figure 1: A rooted digraph and a rooted minor.

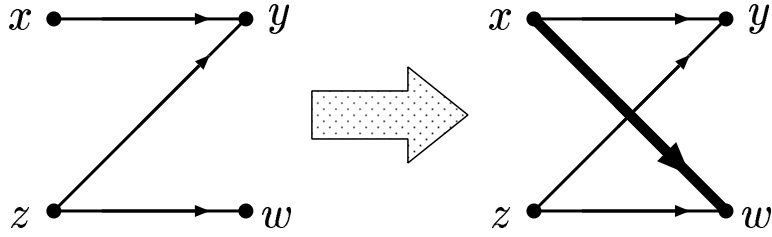


Figure 2: The Heuchenne condition.

Let $G = (V, E, r)$ be a rooted digraph, and $\mathfrak{PS}_D(G)$ be the point-search antimatroid of G . For $A, B \in \mathfrak{PS}_D(G)$ with $A \subseteq B$, remove $V \setminus B$ and the edges incident to $V \setminus B$ from G , shrink the vertices A to r . Then delete all the redundant edges from the resultant graph. This procedure gives us an irredundant rooted digraph, which we call a *rooted minor* and denote by $G[A, B]$. Figure 1 shows an example of rooted minors. Note that every rooted minor of an irredundant rooted digraph is also irredundant. Clearly, the point-search antimatroid of $G[A, B]$ is equal to the minor $\mathfrak{PS}_D(G)[A, B]$, namely $\mathfrak{PS}_D(G[A, B]) = \mathfrak{PS}_D(G)[A, B]$. Furthermore, suppose G' to be another irredundant rooted digraph. Then $\mathfrak{PS}_D(G)$ contains a minor isomorphic to $\mathfrak{PS}_D(G')$ if and only if there exists a rooted minor of G which is isomorphic to G' .

A *multi-digraph* H is a quadruple $(N, A; h, t)$ where N is a nonempty finite set of *nodes*, A is a finite set of *arcs*, and h, t are maps from A to N . For $a \in A$, $h(a) \in N$ is called the *head* of a , and $t(a) \in N$ is the *tail* of a . A digraph is a special case of multi-digraphs. A *path* in H is a sequence of arcs $a_1 \cdots a_k$ such that $h(a_i) = t(a_{i+1})$ for $i = 1, \dots, k-1$. If a path has no repeated arcs, it is called *simple*.

A multi-digraph $H = (N, A; h, t)$ defines a digraph $G = (A, E)$ by $E = \{(a, b) : a, b \in A, a \neq b, h(a) = t(b)\}$, which is called the *line graph* of H . A digraph G is a *line graph* if there exists some multi-digraph of which G is the line graph. Syslo [14] gives a polynomial-time algorithm which decides whether the given digraph is a line graph or not. The algorithm is based on the following characterization of line graphs [4, 11]:

Proposition 1. *Let $G = (V, E)$ be a digraph. G is a line graph if and only if for every $x, y, z, w \in V$, $(x, y), (z, y), (z, w) \in E$ imply $(x, w) \in E$, as shown in Figure 2.*

The condition of this proposition is called the *Heuchenne condition*, or the *H-condition*, for short.

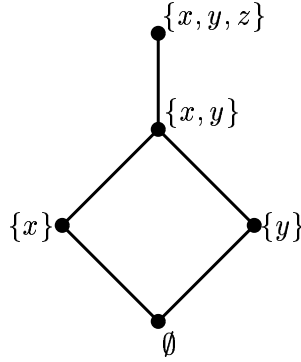


Figure 3: The forbidden minor D_5 of point-search antimatroids of rooted digraphs.

A *rooted multi-digraph* is a quintuple $(N, A, r; h, t)$ where $(N \cup \{r\}, A; h, t)$ is a multi-digraph and r is a specified node called a *root* such that for every arc there exists a simple path from r which contains it. A rooted multi-digraph $H = (N, A, r; h, t)$ also gives its *rooted line graph* as follows: add a new node r'' and insert an arc $r''r'$ to H , and construct the line graph of this resultant multi-digraph, then we have a digraph G whose vertices are $A \cup \{r\}$ where r is a vertex corresponding to the arc $r''r'$. By assumption, it is obvious that there exists a rooted path to every vertex in G . Hence G is a rooted digraph.

3 The forbidden minor characterization of line-search antimatroids

In analogy to point-search antimatroids, we define the *line-search antimatroid* $\mathcal{L}\mathfrak{S}_D(H)$ of a rooted multi-digraph $H = (N, A, r; h, t)$ as follows:

$$\mathcal{L}\mathfrak{S}_D(H) = \{X \subseteq A : \text{every arc } a \in X \text{ is contained in a simple path from } r \text{ on the subgraph induced by } X\}. \quad (6)$$

Note that line-search antimatroids of rooted multi-digraphs are also closed under taking their minors.

Let G be the rooted line graph of a rooted multi-digraph H . Then the line-search antimatroid of H coincides with the point-search antimatroid of G . Therefore, the class of point-search antimatroids of rooted digraphs includes that of line-search antimatroids of rooted multi-digraphs. It is easily checked that there is a one-to-one correspondence between line-search antimatroids of rooted multi-digraphs and irredundant rooted digraphs which satisfy the H-condition.

Point-search antimatroids of rooted digraphs are characterized by the forbidden minor [10]:

Proposition 2. \mathcal{F} is the point-search antimatroid of a rooted digraph if and only if \mathcal{F} does not contain a minor isomorphic to $D_5 = \{\emptyset, \{x\}, \{y\}, \{x, y\}, \{x, y, z\}\}$, as shown in Figure 3.

Hence, in order to characterize line-search antimatroids of rooted digraphs, we only need to characterize point-search antimatroids of irredundant rooted digraphs which violate the H-condition.

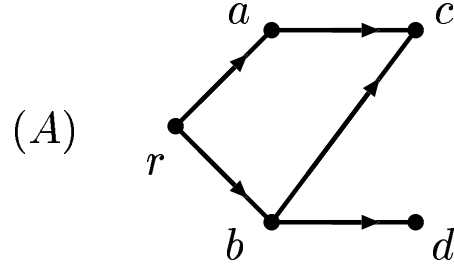


Figure 4: The rooted digraph A which violates the H-condition.

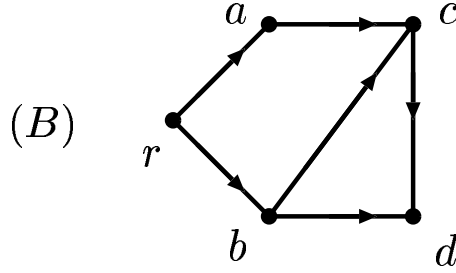


Figure 5: The rooted digraph B which violates the H-condition.

For example, the irredundant rooted digraph $A = (V(A), E(A), r)$ defined as

$$V(A) = \{a, b, c, d\}, \quad (7)$$

$$E(A) = \{(r, a), (r, b), (a, c), (b, c), (b, d)\}, \quad (8)$$

which is shown in Figure 4 violates the H-condition.

Additionally, the following three kinds of irredundant rooted digraphs $B, C_{m,n}, D_{l,m,n}$ also violate the H-condition; $B = (V(B), E(B), r)$ is defined as

$$V(B) = \{a, b, c, d\}, \quad (9)$$

$$E(B) = \{(r, a), (r, b), (a, c), (b, c), (b, d), (c, d)\}, \quad (10)$$

which is shown in Figure 5; $C_{m,n} = (V(C_{m,n}), E(C_{m,n}), r)$ is defined as

$$V(C_{m,n}) = \{a, b, c = x_0, d = y_0, e, x_1, \dots, x_{m-1}, y_1, \dots, y_{n-1}\}, \quad (11)$$

$$E(C_{m,n}) = \{(r, a), (r, b), (a, c), (b, d), (c, x_1), (d, y_1), (e, c), (e, d), \\ (x_1, x_2), \dots, (x_{m-2}, x_{m-1}), (x_{m-1}, e), \\ (y_1, y_2), \dots, (y_{n-2}, y_{n-1}), (y_{n-1}, e)\}, \quad (12)$$

where $m, n \geq 1$, which is shown in Figure 6; $D_{l,m,n} = (V(D_{l,m,n}), E(D_{l,m,n}), r)$ is defined as

$$V(D_{l,m,n}) = \{a, b, c = x_0, d = y_0, e, f = z_0, \\ x_1, \dots, x_{l-1}, y_1, \dots, y_{m-1}, z_1, \dots, z_{n-1}\}, \quad (13)$$

$$E(D_{l,m,n}) = \{(r, a), (r, b), (a, c), (b, d), (c, x_1), (d, y_1), (e, c), (e, d), \\ (f, z_1), (x_1, x_2), \dots, (x_{l-2}, x_{l-1}), (x_{l-1}, f), \\ (y_1, y_2), \dots, (y_{m-2}, y_{m-1}), (y_{m-1}, f), \\ (z_1, z_2), \dots, (z_{n-2}, z_{n-1}), (z_{n-1}, e)\}, \quad (14)$$

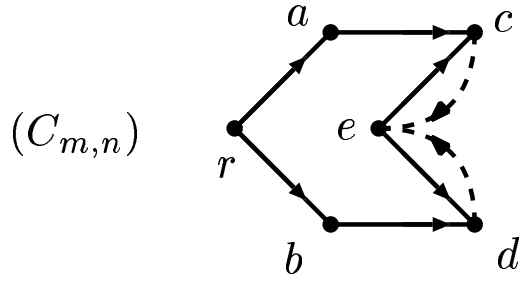


Figure 6: The rooted digraph $C_{m,n}$ ($m, n \geq 1$) which violates the H-condition, where the broken arrows represent arbitrarily long paths.

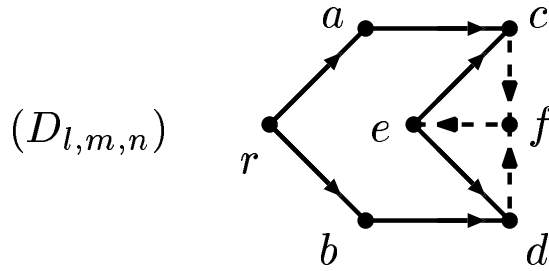


Figure 7: The rooted digraph $D_{l,m,n}$ ($l, m, n \geq 1$) which violates the H-condition, where the broken arrows represent arbitrarily long paths.

where $l, m, n \geq 1$, which is shown in Figure 7.

Therefore, it is clear that if G is a rooted line graph then it cannot contain the above rooted digraphs as its rooted minors. Indeed, it turns out to be sufficient to exclude these minors to get a rooted line graph.

Theorem 3. *Let G be an irredundant rooted digraph. Then, G is a rooted line graph if and only if G has no rooted minor isomorphic to A , B , $C_{m,n}$ or $D_{l,m,n}$ ($l, m, n \geq 1$).*

Proof. We only need to show the sufficiency. Let $G = (V, E, r)$ be an irredundant rooted digraph containing four vertices x, y, z, w which violate the H-condition and is minor-minimal with respect to this property. Let $\mathcal{W} = \{x, y, z, w\}$.

A vertex $a \in \mathcal{W}$ is the *joint* of a straight path P from r to a vertex of \mathcal{W} if a is the first vertex of \mathcal{W} along the path P from r . Let T be the set of joints for straight paths in G . From the assumption, we have $T \neq \emptyset$ and there must exist a path supporting each of the edges xy, zy, zw , which we denote by P, Q, R , respectively. We consider the following cases according to the size of T .

Case 1. $|T| = 1$. It is easily checked that this case leads to a contradiction.

Case 2. $|T| = 2$. This has the following six subcases.

Case 2-1. $T = \{x, y\}$. The path Q is not straight since Q must go through x or y . This is a contradiction.

Case 2-2. $T = \{x, z\}$. A path with the joint x supports the edge xy , and a path with the joint z supports the edges zy and zw . From the minimality of G , the vertices of G must be $\{r, x, y, z, w\}$. If we consider all the possible edges among them, then we obtain A and B .

Case 2-3. $T = \{x, w\}$. Suppose that the path Q goes through x , then the edge xy is a short-cut. This is a contradiction. Therefore, Q must go through w but not through x . Moreover, Q is $r \cdots w \cdots zy$ since Q does not go through y . If a path with the joint w has no vertex between r and w , then it is a short-cut of the path R . Therefore, it has an extra vertex p between r and w , namely the path is rpw , from the minimality of G . Moreover, the path with the joint x is rx from the minimality of G as a rooted minor. Since the path R does not go through w , it must go through x . We consider the subcases according to whether R goes through the edge xy or not.

Case 2-3-1. R goes through xy . R is $r \cdots xy \cdots z$. If there is a common vertex of the part $y \cdots z$ of R and the part $w \cdots z$ of Q except for z , then G must contain $D_{l,m,n}$ as a subgraph. Otherwise, G must contain $C_{m,n}$ as a subgraph.

Now we should check that if G has no rooted minor isomorphic to $C_{m,n}$ and $D_{l,m,n}$, then G must have A or B as its rooted minor, or it leads to a contradiction.

Case 2-3-1-1. $C_{m,n}$ has extra edges. Refer the definition (11, 12) of $C_{m,n}$.

Case 2-3-1-1-1. the edge cd exists. If we shrink a to r and we set $a = c$ and $c = x_1$, then we can reduce this case to A or B .

Case 2-3-1-1-2. the edge $x_i y_j$ exists ($0 < i < m, 0 < j < n$). If we shrink $a, b, c, x_1, \dots, x_{i-1}, y_0, \dots, y_{j-2}$ to r and we set $a = x_i, b = y_{j-1}, c = x_{i+1}$ and $d = y_j$, then we reduce this case to A or B .

Case 2-3-1-1-3. the edge $x_i e$ exists. A contradiction since the edge $x_{m-1} e$ is redundant.

Case 2-3-1-2. $D_{l,m,n}$ has extra edges. We can check similarly to Case 2-3-1-1.

Case 2-3-2. R does not go through xy . Then, we obtain the graphs shown in Figure 8, where I is a path from x to z and J is a path from w to z . In the left case, I and J have a unique common vertex z , and in the right case they have at least two common vertices.

Now we show that these graphs have A or B as a rooted minor. We consider the left case. The right case is shown similarly.

Case 2-3-2-1. the length of I is one, and the length of J is also one. If we shrink p to r , then it is reduced to B .

Case 2-3-2-2. the length of I is one, and the length of J is more than one. Let $J = w j_1 j_2 \dots j_h z$ for $h \geq 1$. If we shrink $p, w, j_1, \dots, j_{h-1}$ to r , then it is reduced to B .

Case 2-3-2-3. the length of I is two, and the length of J is one. If we shrink p and w to r , then it is reduced to A .

Case 2-3-2-4. the length of I is more than two, and the length of J is one. Let $I = x i_1 i_2 \dots i_k z$ for $k \geq 2$. If we delete i_2, \dots, i_k and shrink p and w to r , then it is reduced to A .

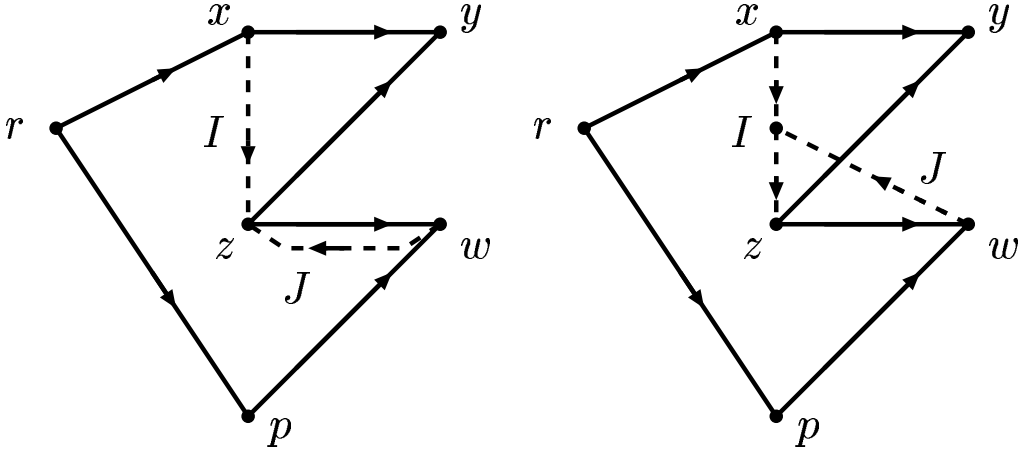


Figure 8: Case 2-3-2. Broken arrows represent arbitrarily long paths.

Case 2-3-2-5. the lengths of both I and J are more than one. Let $I = xi_1i_2 \dots i_kz$ for $k \geq 1$, and $J = wj_1 \dots j_hz$ for $h \geq 1$. If we delete i_2, \dots, i_k and shrink p, w, j_1, \dots, j_h to r , then it is reduced to A .

Case 2-4. $T = \{y, w\}$. From the minimality and the irredundancy of G , the length of a path with the joint y is two, and let it be $ropy$. Similarly, the length of a path with the joint w is two, and let it be rqw . If $p = q$, then the three edges xy, zy and zw are always redundant. Therefore, we have $p \neq q$.

The path Q goes through neither x nor y . Therefore, Q is $rqw \dots zy$.

The path R does not go through w . Hence, it must go through y . If we delete x , then it is reduced to $C_{m,n}$ or $D_{l,m,n}$.

Case 2-5. $T = \{y, z\}$. The path P does not go through y . Therefore, it must go through z . Then, it is a contradiction since the edge zy is a short-cut.

Case 2-6. $T = \{z, w\}$. Since the path P does not go through z , it must go through w . From the minimality of G , the length of a path with the joint w is two, and the length of a path with the joint z is one. Now, we obtain the graph shown in Figure 9. Then, if we delete the vertices of the path $w \dots x$ except for w , then it is reduced to A .

Case 3. $|T| = 3$. This has the following four subcases.

Case 3-1. $T = \{x, y, z\}$. The path P has the joint x . Moreover, the paths Q and R have the joint z . Suppose that the length of a path Y with the joint y is one. Then the edges xy and zy are redundant. Therefore, the length of Y is more than one, that is, $Y = ry_1 \dots y_kpy$ for $k \geq 0$. Note that p is contained neither in P nor in Q .

Let $P = ru_1 \dots u_lx$ and $Q = rv_1 \dots v_mz$ for $l, m \geq 0$. If we delete p and shrink $u_1, \dots, u_l, v_1, \dots, v_m, y_1, \dots, y_k$ to r , then it is reduced to A or B .

Case 3-2. $T = \{x, y, w\}$. Suppose that the length of a path Y with the joint y is one. Then the edges xy and zy are redundant. Therefore, the length of Y is more than one, that is, $Y = ry_1 \dots y_kpy$ for $k \geq 0$. If we delete x , then $\{p, y, z, w\}$ is the set of vertices which violates the H-condition. Therefore, it is reduced to Case 2-3.

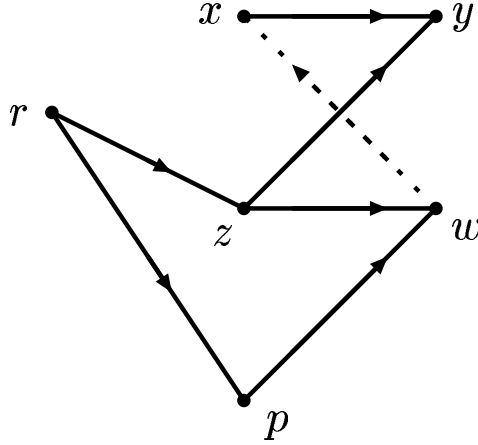


Figure 9: Case 2-6.

Case 3-3. $T = \{x, z, w\}$. The path P has the joint x . Moreover, the paths Q and R have the joint z . Suppose that the length of a path Y with the joint w is one. Then the edge zw is redundant. Therefore, the length of Y is more than one, that is, $Y = ry_1 \cdots y_kpw$ for $k \geq 0$. Note that p is contained neither in P nor in Q .

Let $P = ru_1 \cdots u_lx$ and $Q = rv_1 \cdots v_mz$ for $l, m \geq 0$. If we delete p , and shrink $u_1, \dots, u_l, v_1, \dots, v_m, y_1, \dots, y_k$ to r , then it is reduced to A or B .

Case 3-4. $T = \{y, z, w\}$. The paths Q and R have the joint z . Let Y be the path with the joint y . Note that the length of Y is more than one. Similarly, let W be the path with the joint w , then its length is more than one. The path P supporting the edge xy has the joint w . Let p be the vertex of Y which precedes y and q be the vertex of W which precedes w . Suppose that $p = q$, and consider the path P supporting the edge xy . The joint of P is not y . If the joint of P is z , then the edge zy is a short-cut of P . If the joint of P is w , then the edge py is a short-cut of P . Therefore, we have $p \neq q$.

Let $Y = ry_1 \cdots y_lpy$, $W = rw_1 \cdots w_mqw$ and $Q = rq_1 \cdots q_nz$ for $l, m, n \geq 0$. If we delete p and x , and shrink $y_1, \dots, y_l, w_1, \dots, w_m, q_1, \dots, q_n$ to r , then it is reduced to A or B .

Case 4. $|T| = 4$. It is easily checked that this case is reduced to Case 3-1 or Case 3-3. \square

Theorem 3 directly gives the forbidden minor characterization of line-search antimatroids of rooted digraphs as below.

Corollary 4. *Let \mathcal{F} be an antimatroid. Then, \mathcal{F} is a line-search antimatroid of a rooted digraph if and only if \mathcal{F} has no minor isomorphic to D_5 or the point-search antimatroids of A , B , $C_{m,n}$ or $D_{l,m,n}$ ($l, m, n \geq 1$).*

Robertson–Seymour [12] have shown the Graph Minor Theorem, that is, in every infinite set of graphs there are two graphs such that one is a minor of the other. From this theorem, we conclude that every minor-closed property of graphs can be characterized by finitely many forbidden minors. But for antimatroids, Theorem 3 implies that there exists an infinite set of antimatroids such that any of them is not a proper minor of the other one.

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