Recent Development of Abstract Convex Geometries

Yoshio Okamoto (ETH Zurich)

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Supported by the Berlin-Zürich Joint Graduate Program



Geometry Computation

Biased introduction to (abstract) convex geometries

Definition and Examples	(15 min.)
Basic Concepts I	(5 min.)
Classification	(15 min.)
Basic Concepts II	(15 min.)
Others	(5 min.)
Summary	(1 min.)

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Setup

E a nonempty finite set,

 $\mathcal{L} \subseteq 2^E$ a family of subsets of E.



Setup E a nonempty finite set,

 $\mathcal{L} \subseteq 2^E$ a family of subsets of E.



 ${\cal L}$ is called a convex geometry on E

if ${\mathcal L}$ satisfies the following conditions.

(1) $\emptyset \in \mathcal{L}, E \in \mathcal{L}$. (2) $X, Y \in \mathcal{L} \Rightarrow X \cap Y \in \mathcal{L}$. (3) $X \in \mathcal{L} \setminus \{E\} \Rightarrow \exists e \in E \setminus X: X \cup \{e\} \in \mathcal{L}$.

 $X \subseteq E$ is called **convex** if $X \in \mathcal{L}$.





















Example 2: poset shelling Given $P = (E, \leq)$ a partially ordered set Def. \mathcal{L} the **poset shelling** of P: $\mathcal{L} = \{ X \subset E : e \in X, f \leq e \Rightarrow f \in X \}$ 1234 3 123 124 12 24 2

Example 2: poset shelling Given $P = (E, \leq)$ a partially ordered set Def. \mathcal{L} the **poset shelling** of P: $\mathcal{L} = \{ X \subset E : e \in X, f \le e \Rightarrow f \in X \}$ 1234 3 123 124 12 24 2 The maximal elements of $\{1, 2, 3, 4\} = \{3, 4\}$









Example 2: poset shelling Given $P = (E, \leq)$ a partially ordered set Def. \mathcal{L} the **poset shelling** of P: $\mathcal{L} = \{ X \subset E : e \in X, f \leq e \Rightarrow f \in X \}$ 1234 3 123 124 12 24 2











Example 3: tree shelling 5 Given T = (V, E) a tree Def. \mathcal{L} the **tree shelling** on T: $\mathcal{L} = \{ X \subset E : X \text{ forms a subtree of } T \}$ 1234 (Remove an edge incident to a leaf one by one)


















Other examples

There are many other examples...

- From graphs
 - The family of connected subgraphs in a block graph (Jamison-Waldner '81)
 - The family of monophonically convex sets in a chordal graph
 - (Farber & Jamison '86) • The family of geodecically convex sets in a Ptolemaic graph

(Farber & Jamison '86)

The family of m³-convex sets in an HDDA-free graph

(Dragan, Nicolai & Branstädt '99)

From partially ordered sets

- The family of order convex sets in a poset
- The family of k-antichains in a poset
- The family of subsemilattices in a semilattice

From geometry

- Lower convex shelling on a finite point set
- Convex shelling on an acyclic oriented matroid

From matroids

Line-search in a matroid

and more!

(Greene & Kleitman '76) (Jamison-Waldner '78)

(Edelman '82)

(Goecke, Korte & Lovász '89)

- Understanding "Convexity" in an axiomatized combinatorial setting
- Counterpart of matroids
- Equivalent to antimatroids (and others)
- Mathematical social science, mathematical psychology
- AND/OR networks, scheduling, project planning
- Directed hypergraphs
- Horn CNF formulas
- Lattice theory...

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10	Lattice structu	ire
Setup	${\cal L}$ a convex geometry on E	
Obs.	\mathcal{L} forms a lattice with \subseteq .	
Def.	A lattice L is meet-distributive \Leftrightarrow for every $x, y \in L$ such that x is the meet of elements y covers, $[x, y]$ is Boolean	
Obs.	${\cal L}$ is meet-distributive.	
Thm.	(Edelman '80) \forall finite meet-distributive lattice L \exists a convex geometry \mathcal{L} on E s.t. L \cong \mathcal{L} .	
Con	vex geometries \equiv Meet-distributive lattices	
		10

	Digression: similar	relations in combinatorics
Corresp.	Set systems \equiv	Lattices
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Thm.	(Birkhoff 33) Poset shellings	\equiv	Distributive

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	Poset shellings	\equiv	Distributive
Thm.	(Birkhoff '35; Whit	ney '35	5)
	Matroids	\equiv	Geometric

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Thm.	(Campbell '43; Birk	hoff &	Frink '48)
	Closure spaces	\equiv	General

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 \mathcal{L} satisfies a certain property

13/

Classification Problem

Aim \mathcal{L} a convex geometry

Certain classes??: in this talk

- convex shellings of finite point sets
- poset shellings
- tree shellings
- graph searches

Certain properties??: in this talk





 \mathcal{L}

 $\mathcal{L}[3, 134]$





Classification according to minors

Classes closed under taking minors

- Poset shellings
 - Graph searches
 - directed/point
 - undirected/point
 - directed/line
 - undirected/line

Classes not closed under taking minors



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Convex shellings of finite point sets Tree shellings









Forbidden-minor characterizations: List

Poset shellings

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- Graph searches
 - directed/point
 - undirected/point
 - directed/line
 - undirected/line

(Nakamura '03)

(Nakamura '03) (Nakamura '03) (Okamoto & Nakamura '03) (OPEN)

Open problem

Forbidden-minor characterization of undirected graph line-searches

Classification according to minors

Classes closed under taking minors

♦

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- Graph searches
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Classes not closed under taking minors



Convex shellings of finite point sets Tree shellings

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Minors of convex shellings of finite point sets Minors of tree shellings

Classes not closed under taking minors



- Convex shellings of finite point sets
- Tree shellings





(Kashiwabara, Nakamura & Okamoto '03) \mathcal{L} is a minor of a convex shelling $\widehat{\mathcal{L}}$ is a convex geometry.






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In other words,

Every convex geometry is a minor of a convex shelling.

More precisely speaking, For any convex geometry \mathcal{L} , ...

Minors of convex shellings



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Minors of convex shellings



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More precisely speaking,

For any convex geometry \mathcal{L} , there exists a finite point set $\mathcal{P} = \mathcal{P}(\mathcal{L}) \subseteq \mathbb{R}^d$ for some $d = d(\mathcal{L})$ such that $\mathcal{L} \cong \mathcal{L}'[A, B]$, where \mathcal{L}' is the convex shelling on \mathcal{P} and $A, B \in \mathcal{L}', A \subseteq B$.





(Okamoto & Nakamura '03)

(Nakamura '03)

(Nakamura '03)

(Nakamura '03)

(OPEN)

(OPEN)

Poset shellings

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- Graph searches
 - directed/point
 - undirected/point
 - directed/line
 - undirected/line
- Minors of convex shellings (Kashiwabara, Nakamura & Okamoto '03)
- Minors of tree shellings

Open problem

Forbidden-minor characterization of

minors of tree shellings



<u>Measure</u>: # of oracle calls

Thm.

- (Enright '01)
- (1) There is a poly-time algorithm to recognize a poset shelling.
- (2) There is no poly-time algorithm to recognize a graph search (directed/point).
- (3) There is no poly-time algorithm to recognize a graph search (undirected/point).

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Framework

 $\frac{26}{1}$

Convex geometries	Matroids
Convex sets	Flats
Closure	Closure
Extreme points	isthmus
Independent sets	Independent sets
Circuits	Circuits

•



$$\mathcal{L} \subseteq 2^{\mathsf{E}}$$
 a convex geometry on E



The closure operator of \mathcal{L} is a mapping $\tau_{\mathcal{L}}: 2^{E} \rightarrow 2^{E}$ defined as

$\begin{aligned} \tau_{\mathcal{L}}(A) &= \bigcap \{ X \in \mathcal{L} : A \subseteq X \} \\ &= \text{smallest convex set containing } A. \end{aligned}$



$$X \subseteq \mathrm{I\!R}^d$$

conv(X) = smallest convex set containing X.



 $A\subseteq \tau_{\mathcal{L}}(A)$ for all $A\subseteq E$

$$\frac{28}{V} \qquad \qquad \text{Closure operators} \\ \hline \text{Def.} \quad \tau_{\mathcal{L}}(A) = \text{smallest convex set containing } A. \\ \hline \end{array}$$













Rem

Characterizations of convex geometries by closure operators (Edelman & Jamison '85) $\frac{29}{1}$

Characterization of closure operators

Setup

$$\tau: 2^E \to 2^E$$
 a map

Thm

(Edelman & Jamison '85) τ is the closure operator of some convex geometry (1) $\tau(\emptyset) = \emptyset$. (2) $A \subset \tau(A)$ for all $A \subset E$ (3) $A \subset B \subset E \Rightarrow \tau(A) \subset \tau(B)$. (4) $\tau(\tau(A)) = \tau(A)$ for all $A \subset E$. (5) $A \subseteq E, e, f \not\in \tau(A), e \neq f$, $e \in \tau(A \cup \{f\}) \Rightarrow f \notin \tau(A \cup \{e\}).$

 $\frac{29}{1}$

Characterization of closure operators

Setup

$$\tau: 2^E \rightarrow 2^E$$
 a map

Cf.

 τ is the closure operator of some matroid (1) $\tau(\emptyset) = \emptyset$. (2) $A \subset \tau(A)$ for all $A \subset E$ (3) $A \subset B \subset E \Rightarrow \tau(A) \subset \tau(B)$. (4) $\tau(\tau(A)) = \tau(A)$ for all $A \subset E$. (5) $A \subseteq E, e, f \not\in \tau(A), e \neq f$, $e \in \tau(A \cup \{\mathbf{f}\}) \Rightarrow \mathbf{f} \in \tau(A \cup \{e\}).$

Extreme point operators

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$$\mathcal{L} \subseteq 2^{\mathsf{E}}$$
 a convex geometry on E



The extreme point operator of \mathcal{L} is a mapping $ex_{\mathcal{L}} : 2^E \to 2^E$ defined as

$$\mathsf{ex}_{\mathcal{L}}(\mathbf{A}) = \{ \mathbf{e} \in \mathbf{A} : \mathbf{e} \not\in \tau_{\mathcal{L}}(\mathbf{A} \setminus \{\mathbf{e}\}) \}.$$



 $X \subseteq {\rm I\!R}^d$ a convex polyhedron (pointed) vert(X) = the set of vertices of X.



$$ex_{\mathcal{L}}(A) \subseteq A$$
 for all $A \subseteq E$

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Extreme point operators



 $\mathbf{ex}_{\mathcal{L}}(\mathbf{A}) = \{ e \in \mathbf{A} : e \not\in \tau_{\mathcal{L}}(\mathbf{A} \setminus \{e\}) \}.$















Characterizations of convex geometries by extreme point operators (Koshevoy '99, Ando '02)





Characterizations of matroids by extreme point operators

(Ando '02)

Setup $\mathcal{L} \subseteq 2^{E}$ a convex geometry on E

A set $I \subseteq E$ is **independent** in \mathcal{L} if $ex_{\mathcal{L}}(I) = I$.

Def.

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$$\begin{split} \mathcal{L} = \{ X \subseteq \mathcal{P} : \text{conv}(X) \cap \mathcal{P} = X \} \\ \text{ex}_{\mathcal{L}}(A) = \text{the set of extreme points of conv}(A) \end{split}$$



34 **Independent sets** Def. $Ind(\mathcal{L}) = the family of independent sets in \mathcal{L}$ $I \subseteq J, J \in \mathsf{Ind}(\mathcal{L}) \Rightarrow I \in \mathsf{Ind}(\mathcal{L})$ _em. Open problem characterization of the family of independent sets in a convex geometry Cf. \mathcal{M} a matroid $\mathsf{Ind}(\mathcal{M})$ is the family of independent sets in \mathcal{M} (1) $I \subset J, J \in Ind(\mathcal{M}) \Rightarrow I \in Ind(\mathcal{M}).$ (2) $I_1, I_2 \in Ind(\mathcal{M}), |I_1| > |I_2|$ $\Rightarrow \exists e \in I_1 \setminus I_2: I_2 \cup \{e\} \in \mathsf{Ind}(\mathcal{M}).$







Def.

A **circuit** of \mathcal{L} is a minimal dependent set.

 $\mathcal{C}(\mathcal{L}) = \mathsf{the}\ \mathsf{family}\ \mathsf{of}\ \mathsf{circuits}\ \mathsf{of}\ \mathcal{L}$



Characterization of convex geometries by the family of circuits (Dietrich '87)

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Goecke '86
 Linear optimization on convex geometries is NP-hard.
Boyd & Faigle '90
 Greedy algorithm for bottleneck optimization (Generalization of Lawler '73)
 Algorithmic characterization of convex geometries
🔶 Nakamura '00, Kempner & Levit '03
Further study on algor char of convex geometries
🔶 Kashiwabara & Okamoto '03
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Cooperative games

Selectopes

Every set of players can form a coalition

Cooperative games on convex geometries (Bilbao)

A member in a convex geometry can only be a coalition (based on Faigle & Kern '92)

🔶 Power indices 🛛 (Edelman '97, Bilbao, Jiménez & Lopéz '98)

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- Gray code for the linear extensions of a poset
 ⇒ an efficient enumeration!!
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 Linear extensions of a poset
 - = Removing sequences in a poset shelling
- Pruesse & Ruskey '93
 - Considered Gray code for convex geometries for enumeration of removing sequences in a convex geometry
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 - Application for the enumeration of simplicial elimination orderings in a chordal graph
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Theory of convex geometries

appears in many guises (by different names).

Summary

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- ♦ is activated by some recent results.

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- does not have enough people working on.
- is looking forward to your contributions.

Thank you very much.

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Slides will be obtained from http://www.inf.ethz.ch/personal/okamotoy/ or by email to

okamotoy@inf.ethz.ch